

# R basic and Population stratification

GBIO0002

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# Basic commands

- `q()` To quit R environment
- `x = 5` Assignment operator
- `y <- 5` Assignment operator
- `ls()` To list objects in R environment
- `?ls()` To check how to use a function
- `getwd()` To get a working directory
- `setwd("New/Directory")`  
To set a new working directory
- `save(x,y,file="mydata.RData")`  
To save objects as the R data file
- `save.image(file="alldata.RData")`  
To save all objects as the R data file
- `load("mydata.RData")`  
To load the R data file to the working space

# Arithmetic operators

- $5+7$  Addition
- $8-3$  Subtraction
- $5*2$  Multiplication
- $9/2$  Division
- $(8+3)*4$  Parentheses
- $2^4$  Power
- $\exp(4)$  Exponential function
- $\log(8)$  Natural Logarithm
- $\log_{10}(8)$  Logarithm in base 10
- $\pi$  Pi number

# Logical operators

The values can be T, TRUE, F, FALSE

- `5<6`                      less than
- `5<=6`                    less than or equal to
- `5>6`                      greater than
- `5>=6`                    greater than or equal to
- `5==6`                    exactly equal to
- `5!=6`                    not equal to
- `!a`                        NOT a
- `a|b`                      a OR b
- `a&b`                     a AND b
- `xor(a,b)`                a XOR b
- `isTRUE(a)`             test if X is TRUE

Expression statement

- `if (a == 5 && b > 5)`
- `if (a == 5 || b > 5)`

# Basic data types

`class()` - to check class of object

- Logical TRUE, T, FALSE, F  
`class(TRUE)`
- Numeric 2.4, 10, 200  
`class(6.5)`
- Integer 1L, 0L, -7L  
`class(-8L)`
- Complex 6 + 3i  
`class(6 + 3i)`
- Character 'hello', "l", "like", 'R'  
`class('hello')`
- Factor  
`a = as.factor(1)`  
`a = as.factor('hello')`  
`class(a)`

# Vector

To create vectors

- `a = c(1, 2, 0, 6.6, -2.5)`
- `b = c("a", "b", "c")`
- `c = c(F, T, TRUE, FALSE)`

Vectors and operators

- `a + 5`
- `a * 2`
- `c & TRUE`
- `c | FALSE`
- `1:5`                      Vector of 1 to 5
- `c(a, 1:5)`                Concatenate 2 vectors

# Matrix

To create matrices

`matrix(vector, nrow=r, ncol=c, byrow=FALSE)`

- `a = matrix(1:12, nrow=3, byrow=F)`
- `b = matrix(1:12, nrow=3, byrow=T)`
- `c = matrix(runif(12,min=0,max=1), nrow=3, byrow=T)`
- `d = matrix(sample(c(TRUE,FALSE),12,replace=TRUE), nrow=3, byrow=T)`

Matrices and operators

- `a + 5`
- `a + b`
- `t(b)` Transpose of matrix
- `a * b` Element-wise multiplication
- `a %*% t(b)` Matrix multiplication

# Matrix (2)

To access elements of matrix

- `a[1,1]`
- `a[,1]`
- `a[1,]`
- `a[,2:3]`

To name row and columns

- `colnames(a) = c("a", "b", "c", "d")`
- `rownames(a) = c("1", "2", "3")`

To combine 2 matrices

- `cbind(a,b)` Combine by column
- `rbind(a,b)` Combine by row



# Data frame

“data.frame” is the collections of variables which share many of the properties of matrices and of lists

To create data.frame

- `x = c("Kris", "Jack", "Steve", NA)`
- `y = c(50, 20, 60, 40)`
- `z = c(FALSE, TRUE, TRUE, FALSE)`
- `df = data.frame(x, y, z)`
- `colnames(df) <- c("name", "paid", "registered")`

Useful functions

- `df$name`
- `is.na(df$name)` Check all elements if they are NA?
- `anyNA(df$name)` Is there any NA?
- `df$paid * 1.21`
- `dim(df)` Check dimension
- `df[which(df$name=="Kris"),]` Get specific row

# Data frame (2)

To name row and columns

- `colnames(df) = c("1", "2", "3")`
- `rownames(df) = c("a", "b", "c", "d")`

To combine 2 matrices

- `cbind(df, df)` Combine by column
- `rbind(df, df)` Combine by row

# List

A collection of objects which can be in different length

- `m = list(car=c("Toyota", "Honda", "Nissan"), age=c(23, 67), single=TRUE)`

To access objects

- `m$car`
- `m$age`
- `m[[1]]`
- `m[[2]]`

# Conversion functions

- `as.matrix(df)`
- `as.data.frame(a)`
- `as.list(1:5)`
- `as.integer(1:5)`
- `as.logical(c(0,1,1,0))`
- `as.factor(1:5)`

# Concatenation functions

- `c()` To combine vectors
- `list()` To combine lists
- `cbind()` To combine matrices and data frames by column
- `rbind()` To combine matrices and data frames by row
- `paste("Hello", "my", "name", "is", "Kris")`  
To combine strings
- `paste0("Hello", "my", "name", "is", "Kris")`  
To combine strings without space

## Trick to display text on screen

- `str = paste("Hello", "my", "name", "is", "Kris", "\n")`
- `cat(str)` To display text
- `print(str)` To display all values as they are

# Control Flow

- `if(condition) ...`
- `if(condition) ... else ...`
  
- `for(variable in sequence) ...`
- `while(condition) ...`
  
- `break`      To stop iteration
- `next`        To skip to next iteration

# IF

## Examples:

```
age = 10
if (age > 18){
    cat("Old\n")
}else{
    cat("Young\n")
}
```

```
age = 20
if ((age>18) && (age<25)){
    cat("Teenager\n")
}else{
    cat("Other type\n")
}
```

# FOR

Examples:

```
for (i in 1:10){  
  cat(paste(i, "\n"))  
}
```

```
name =  
c("Hello", "my", "name", "is", "Kris")  
for (i in name)  
  cat(paste0(i, " "))
```



# WHILE

Examples:

```
i = 0
while (i<5){
    print(i)
    i = i+1
}
```

```
i = 0
while (i<10){
    if (i>5) next
    print(i)
    i = i+1
}
```

# Import delimited text file

- The formatted text files can be imported to R by these functions:
  - `read.table()`
  - `read.csv()`, `read.csv2()`
  - `read.delim()`, `read.delim2()`
- Important parameters:
  - `file` : the name of input file
  - `header` : to indicate whether the first line contains the names of the variables or not
  - `sep` = the separator character
- Try to import *orange.csv*  
Download from the course website:  
<http://www.montefiore.ulg.ac.be/~chaichoompu>
- Example:

```
mydata=read.table(file="orange.csv",sep=" ",header=TRUE)
head(mydata)
```

# Export as delimited text file

- You can use these functions to export to file
  - `write.table(x, file = "")`
  - `write.csv()`
- Important parameters:
  - `file` : the name of input file
  - `row.names` : to indicate whether row names will be exported or not
  - `col.names` : to indicate whether column names will be exported or not
  - `sep`: the separator character
  - `quote`: to indicate whether text will be quoted (“hello”)

- Example:

```
write.table(mydata, file="newfile.csv", quote=T, sep="\t",  
row.name=T, col.name=T)
```

# Text display

To display text on screen

- `print(x, ...)`
- `cat(...)`

Concatenate variables

- `paste (...)`
- `paste0(...)`

Example:

- `dd <- 28`
- `mm <- "October"`
- `yy <- 2016`
- `cat(paste0(dd,mm,yy))`
- `cat(paste(dd,mm,yy,sep="-"))`

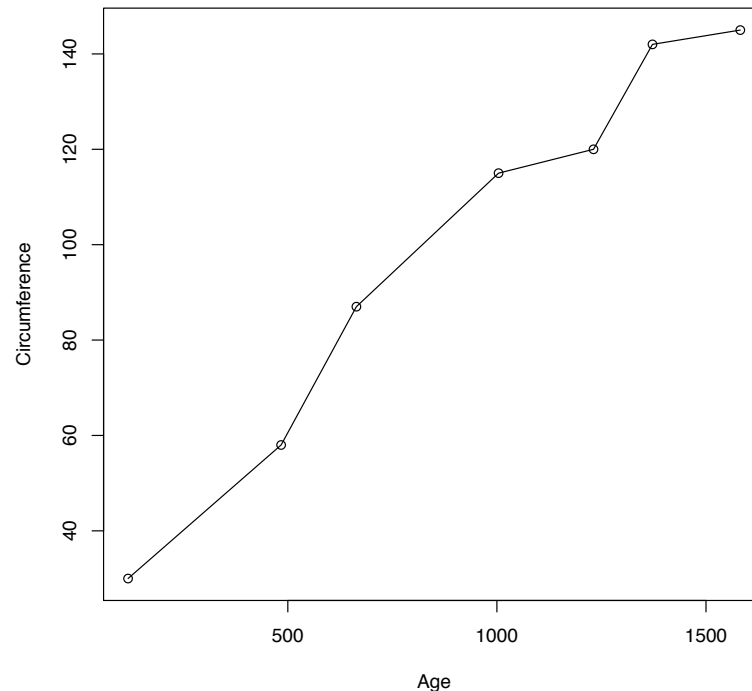
# Plots

- Use `plot()` to create a simple XY plot
  - `plot(rnorm(10))`
- In the computing servers, we need to save plots as files and transfer to a local computer to view
  - `pdf(file="./xyplot.pdf")` → create a pdf file in the current working directory
  - `plot(rnorm(10))`
  - `points(rnorm(2),col="red")` → add 2 red dots to the plot
  - `dev.off()` → close the graphical session, all graphical functions called before `dev.off()` will be saved to pdf file
- R also supports the other types of graphical files
  - Check: `jpeg()`, `tiff()`, `png()`, `bmp()`

# Plotting for multiple data series

Single line:

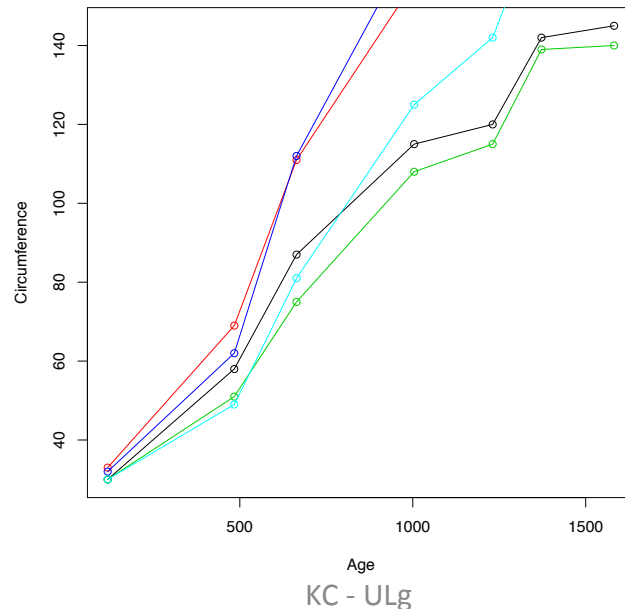
```
age=mydata$age[which(mydata$Tree==1)]  
cir=mydata$circumference[which(mydata$Tree==1)]  
plot(age,cir,type="o",xlab="Age",ylab="Circumference",  
col=1)
```



# Plotting for multiple data series (2)

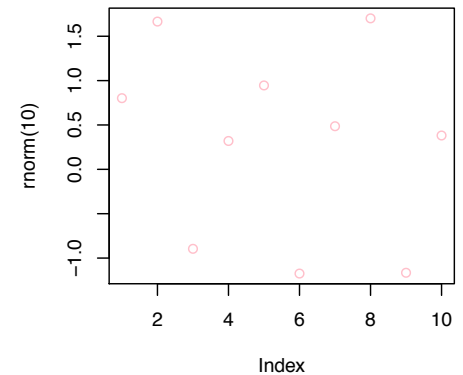
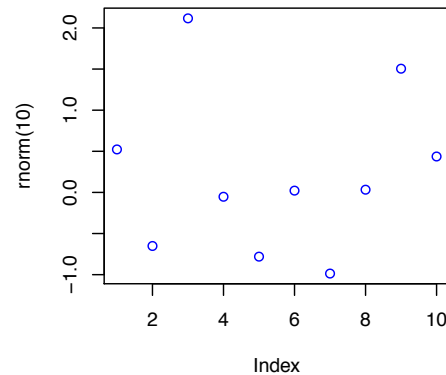
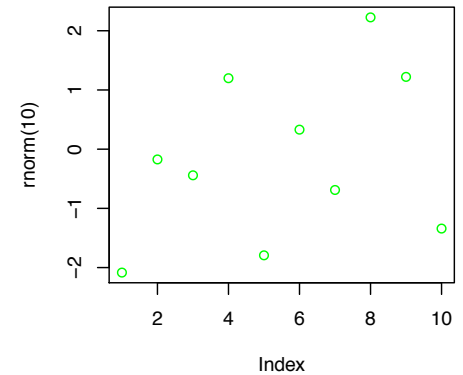
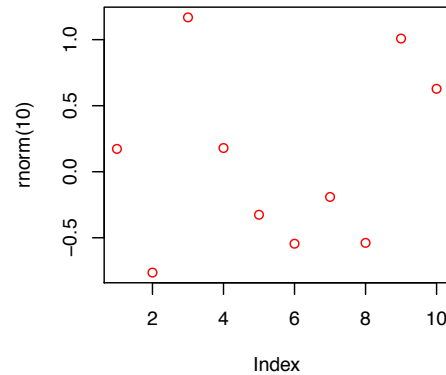
Add more lines:

```
trees=sort(unique(mydata$Tree))
subtrees=trees[-1]
for (item in subtrees){
  age=mydata$age[which(mydata$Tree==item)]
  cir=mydata$circumference[which(mydata$Tree==item)]
  lines(age,cir,col=item,type="o")
}
```



# Multiple plots

```
par(mfrow=c(2,2))  
plot(rnorm(10),col="red")  
plot(rnorm(10),col="green")  
plot(rnorm(10),col="blue")  
plot(rnorm(10),col="pink")
```





# Writing your own function

To define function:

```
f1 <- function(param1, param2, ... ){  
  print(param1)  
  return(param2)  
}
```

Nested Function:

```
f2 <- function(p2,...){  
  f1 <- function(p1,...){  
    var1 <- log10(p1)  
    return(var1)  
  }  
  var2 <- f1(p2)  
  return(var2)  
}
```

# Population stratification

# Population stratification

Population stratification is the presence of a **systematic difference in allele frequencies between subpopulations** in a population possibly due to **different ancestry**, especially in the context of association studies. Population stratification is also referred as population structure, in this context.





# Diversity

- Human
- Plants
- Animals
- Bacteria
- etc

# Human Diversity



# How to group people?



Countries

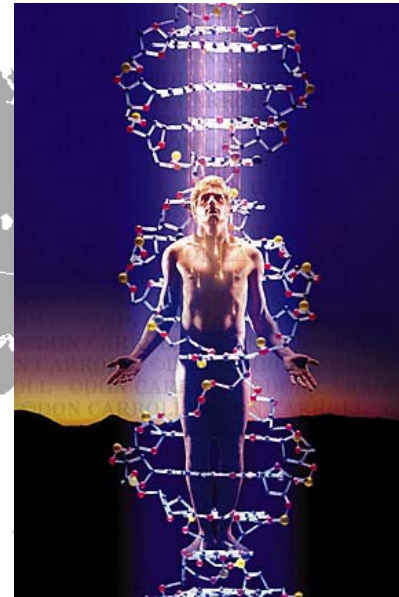


Languages

## Physical appearances: Hair colors, Eye colors, Skin colors



# DNA: the blueprint of our lives



**PROPER DRUGS AND TREATMENT**



# Single Nucleotide Polymorphisms (SNPs)

- What are they?
- How can we detect?



# SNP encoding

- Additive Encoding

Major Allele/Minor Allele	Encoding
A/A	0
A/B	1
B/B	2

- Try to load these files in to R working space
  - simSNP\_rep1\_data\_numMark\_rowInd\_colVar.txt
  - simSNP\_rep1\_individuals\_with\_header.txt
- How many individuals?
- How many SNPs?

# Principal Component Analysis (PCA)

Principal component analysis (PCA) is a statistical procedure that uses an orthogonal transformation to convert a set of observations of possibly correlated variables into a set of values of linearly uncorrelated variables called **principal components (PCs)**.



# PCA in R

- `prcomp(x, retx = TRUE, center = TRUE, scale. = FALSE, tol = NULL, ...)`
- `princomp(formula, data = NULL, subset, na.action, ...)`
- `eigen(x, symmetric, only.values = FALSE, EISPACK = FALSE)`
- `svd(x, nu = min(n, p), nv = min(n, p), LINPACK = FALSE)`

`library(rARPACK)`

- `svds(A, k, nu = k, nv = k, opts = list(), ...)`
- `eigs(A, k, which = "LM", sigma = NULL, opts = list(), ...)`

# PCA for GWAS

---

## Principal components analysis corrects for stratification in genome-wide association studies

Alkes L Price<sup>1,2</sup>, Nick J Patterson<sup>2</sup>, Robert M Plenge<sup>2,3</sup>, Michael E Weinblatt<sup>3</sup>, Nancy A Shadick<sup>3</sup> & David Reich<sup>1,2</sup>

**Population stratification—allele frequency differences between cases and controls due to systematic ancestry differences—can cause spurious associations in disease studies. We describe a method that enables explicit detection and correction of population stratification on a genome-wide scale. Our method uses principal components analysis to explicitly model ancestry differences between cases and controls. The resulting correction is specific to a candidate marker’s variation in frequency across ancestral populations, minimizing spurious associations while maximizing power to detect true associations. Our simple, efficient approach can easily be applied to disease studies with hundreds of thousands of markers.**

# PCA for GWAS (Price, 2006)

The above procedure is motivated by the decomposition  $X = USV^T$ , where  $U$  is an  $M \times N$  matrix whose  $k$ th column contains coordinates of each SNP along the  $k$ th principal component,  $S$  is a diagonal matrix of singular values and  $V$  is an  $N \times N$  matrix whose  $k$ th column contains ancestries  $a_{jk}$  of each individual  $j$  along the  $k$ th principal component. It follows that  $X^T X = VS^2 V^T$ ; thus, the columns of  $V$  are the eigenvectors of the matrix  $X^T X$ . The matrix  $X^T X$  is equivalent up to a constant to the covariance matrix  $\Psi$ , and the matrix  $S^2$  of squared singular values is equivalent up to a constant to the diagonal matrix of eigenvalues of  $\Psi$ .

# snpStats – Bioconductor Package

- <http://www.bioconductor.org/packages/release/bioc/html/snpStats.html>

Usually, principal components analysis is carried out by calculating the eigenvalues and eigenvectors of the correlation matrix. With  $N$  cases and  $P$  variables, if we write  $X$  for the  $N \times P$  matrix which has been standardised so that columns have zero mean and unit standard deviation, we find the eigenvalues and eigenvectors of the  $P \times P$  matrix  $X^T.X$  (which is  $N$  or  $(N - 1)$  times the correlation matrix depending on which denominator was used when calculating standard deviations). The first eigenvector gives the loadings of each variable in the first principal component, the second eigenvector gives the loadings in the second component, and so on. Writing the first  $C$  component loadings as columns of the  $P \times C$  matrix  $B$ , the  $N \times C$  matrix of subjects' principal component scores,  $S$ , is obtained by applying the factor loadings to the original data matrix, *i.e.*  $S = X.B$ . The sum of squares and products matrix,  $S^T.S = D$ , is diagonal with elements equal to the first  $C$  eigenvalues of the  $X^T.X$  matrix, so that the variances of the principal components can be obtained by dividing the eigenvalues by  $N$  or  $(N - 1)$ .

# snpStats - PCA

This standard method is rarely feasible for genome-wide data since  $P$  is very large indeed and calculating the eigenvectors of  $X^T.X$  becomes impossibly onerous. However, the calculations can also be carried out by calculating the eigenvalues and eigenvectors of the  $N \times N$  matrix  $X.X^T$ . The (non-zero) eigenvalues of this matrix are the same as those of  $X^T.X$ , and its eigenvectors are proportional to the principal component scores defined above; writing the first  $C$  eigenvectors of  $X.X^T$  as the columns of the  $N \times C$  matrix,  $U$ , then  $U = S.D^{-1/2}$ . Since for many purposes we are not too concerned about the scaling of the principal components, it will often be acceptable to use the eigenvectors,  $U$ , in place of the more conventionally scaled principal components. However some attention should be paid to the corresponding eigenvalues since, as noted above, these are proportional to the variances of the conventional principle components. The factor loadings may be calculated by  $B = X^T.U.D^{-1/2}$ .

The next step in the calculation is to obtain the SNP loadings in the components. This requires calculation of  $B = X^T.S.D^{-1/2}$ . Here we calculate the transpose of this matrix,  $B^T = D^{-1/2}S^T.X$ , using the special function `snp.pre.multiply` which pre-multiplies a `SnpMatrix` object by a matrix after first standardizing it to zero mean and unit standard deviation.

# PCA for SNPs

- $X$  is the  $M \times N$  matrix, where  $M$  is a number of individuals and  $N$  is a number of SNPs.

$$XX^T = UDV^T$$

$U$  is the matrix of eigenvectors or PC scores.

$$B^T = D^{-1/2}U^TX$$

$B$  is the factor loadings

$$\text{PCs} = X.B$$



# Normalization

- Zero means

If  $X$  is a vector

$$M = X - \text{mean}(X)$$

- Unit variance

$$Y = M / \text{sd}(X)$$

- In R, it is more efficient to use `apply()` with `mean()` and `sd()`

# Quality Control

- Missing data
- Linkage Disequilibrium (LD) pruning
- Hardy-Weinberg Equilibrium (HWE)

Suggestion: use PLINK

<http://pngu.mgh.harvard.edu/~purcell/plink/>

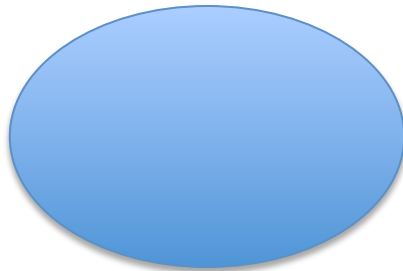
# Exercise - PCA

- Calculate PCs for the example data - simSNP\_rep1, more information:
  - Non-redundant SNPs, no LD
  - No missing data
  - Follow HWE
- Plot the first two eigenvectors
- Plot the first two PCs

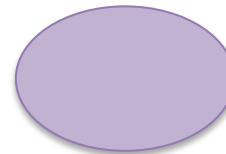
# Fixation index ( $F_{ST}$ )

- $F_{ST}$  can be used to describe a distance among population.
- $F_{ST}$  can be biased due to the allele frequencies and the number of independent SNPs.

Pop1 = 2,000 individuals



Pop2 = 500 individuals

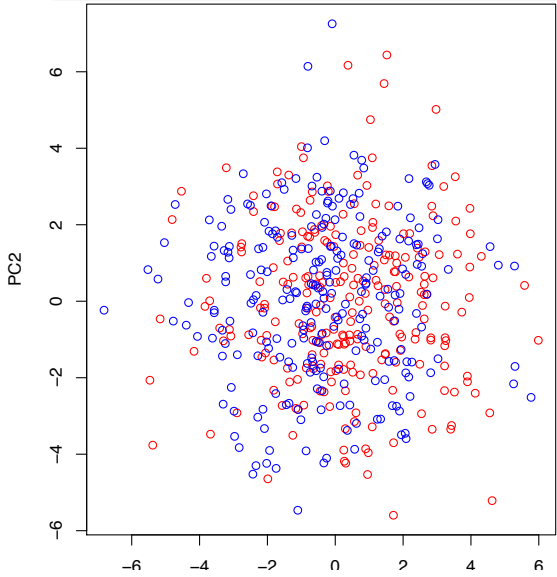


# $F_{ST}$ among European populations

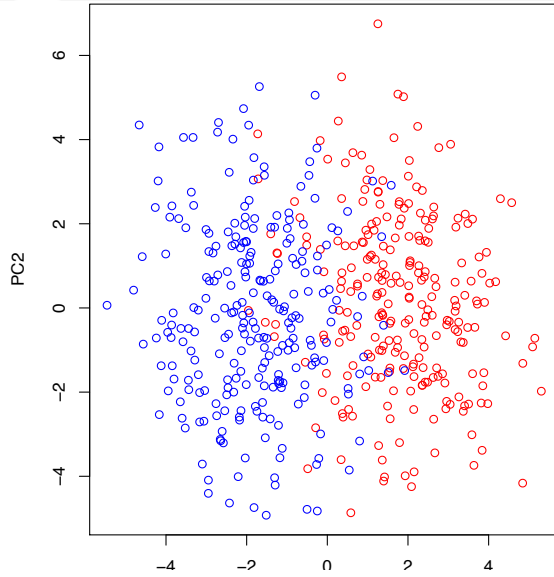
	<i>Sp</i>	<i>Fr</i>	<i>Be</i>	<i>UK</i>	<i>Sw</i>	<i>No</i>	<i>Ge</i>	<i>Ro</i>	<i>Cz</i>	<i>Sl</i>	<i>Hu</i>	<i>Po</i>	<i>Ru</i>	<i>CEU</i>	<i>CHB</i>	<i>JPT</i>
<i>Fr</i>	0.0008															
<i>Be</i>	0.0015	0.0002														
<i>UK</i>	0.0024	0.0006	0.0005													
<i>Sw</i>	0.0047	0.0023	0.0018	0.0013												
<i>No</i>	0.0047	0.0024	0.0019	0.0014	0.0010											
<i>Ge</i>	0.0025	0.0008	0.0005	0.0006	0.0011	0.0016										
<i>Ro</i>	0.0023	0.0017	0.0018	0.0028	0.0041	0.0044	0.0016									
<i>Cz</i>	0.0033	0.0016	0.0013	0.0014	0.0016	0.0024	0.0003	0.0016								
<i>Sl</i>	0.0034	0.0017	0.0015	0.0017	0.0019	0.0026	0.0005	0.0014	0.0001							
<i>Hu</i>	0.0030	0.0015	0.0013	0.0016	0.0020	0.0026	0.0004	0.0011	0.0001	0.0001						
<i>Po</i>	0.0053	0.0032	0.0028	0.0027	0.0023	0.0034	0.0012	0.0028	0.0004	0.0004	0.0006					
<i>Ru</i>	0.0059	0.0037	0.0034	0.0032	0.0025	0.0036	0.0016	0.0030	0.0008	0.0007	0.0009	0.0003				
<i>CEU</i>	0.0026	0.0008	0.0005	0.0002	0.0011	0.0012	0.0006	0.0028	0.0014	0.0016	0.0016	0.0026	0.0031			
<i>CHB</i>	0.1096	0.1094	0.1093	0.1096	0.1073	0.1081	0.1085	0.1047	0.1080	0.1069	0.1058	0.1086	0.1036	0.1095		
<i>JPT</i>	0.1118	0.1116	0.1114	0.1117	0.1095	0.1103	0.1107	0.1068	0.1102	0.1091	0.1079	0.1108	0.1057	0.1117	0.0069	
<i>YRI</i>	0.1460	0.1493	0.1496	0.1513	0.1524	0.1531	0.1502	0.1463	0.1503	0.1498	0.1490	0.1520	0.1504	0.1510	0.1901	0.1918

Simon et al. 2008

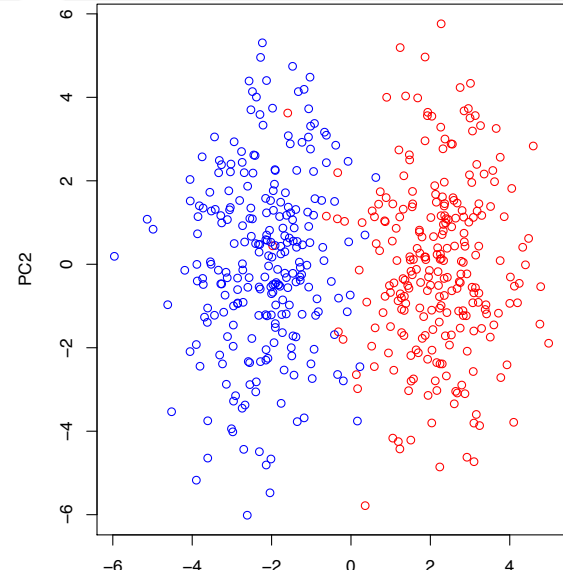
$F_{ST}=0.001$  e.g. SW-NO



$F_{ST}=0.002$  e.g. SW-HU

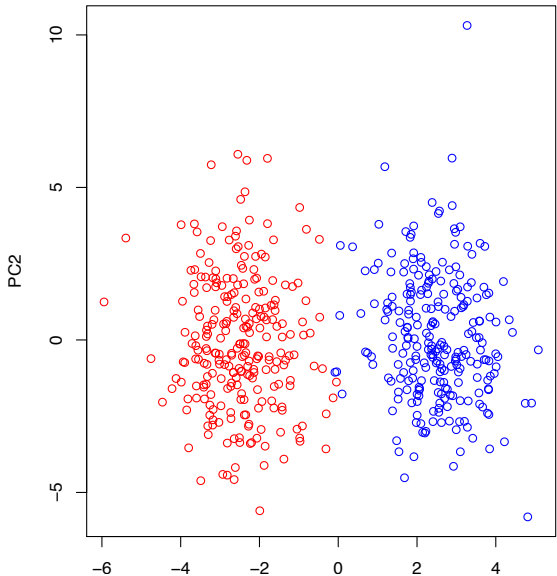


$F_{ST}=0.003$  e.g. SP-HU

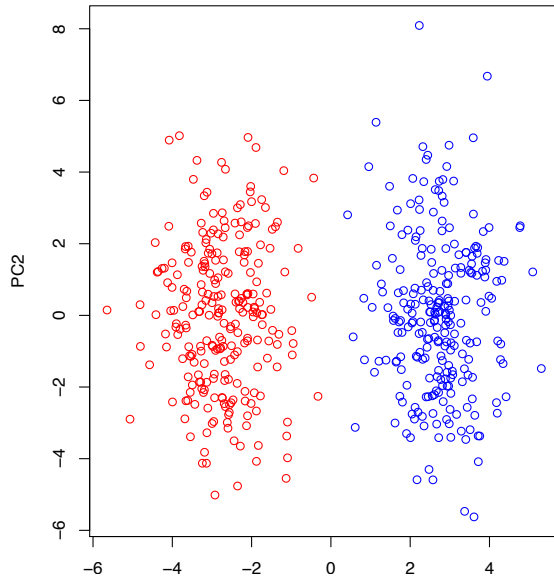


○ Pop1  
○ Pop2

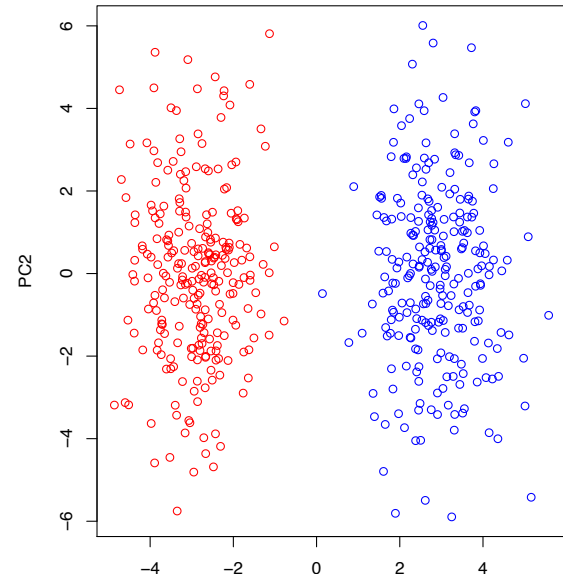
$F_{ST}=0.004$  e.g. SW-RO



$F_{ST}=0.005$  e.g. SP-PO



$F_{ST}=0.006$  e.g. SP-RU



○ Pop1  
○ Pop2

To understand  $F_{ST}$ , here are simulated data using Balding method and the examples of EU populations as reported in (Simon et al. 2008)

# F<sub>ST</sub> – R Packages

## Package ‘PopGenome’

May 4, 2015

**Type** Package

**Title** An Efficient Swiss Army Knife for Population Genomic Analyses

**Version** 2.1.6

**Date** 2015-05-1

## Package ‘hierfstat’

December 4, 2015

**Version** 0.04-22

**Date** 2015-11-24

**Title** Estimation and Tests of Hierarchical F-Statistics

## Package ‘StAMPP’

July 6, 2015

**Type** Package

**Title** Statistical Analysis of Mixed Ploidy Populations

**Depends** R (>= 2.14.0), pegas

**Imports** parallel, doParallel, foreach, adegenet, methods, utils

**Version** 1.4

**Date** 2015-06-30

# Estimating $F_{ST}$

## Method

---

## Estimating and interpreting $F_{ST}$ : The impact of rare variants

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In a pair of seminal papers, Sewall Wright and Gustave Malécot introduced  $F_{ST}$  as a measure of structure in natural populations. In the decades that followed, a number of papers provided differing definitions, estimation methods, and interpretations beyond Wright's. While this diversity in methods has enabled many studies in genetics, it has also introduced confusion regarding how to estimate  $F_{ST}$  from available data. Considering this confusion, wide variation in published estimates of  $F_{ST}$  for pairs of HapMap populations is a cause for concern. These estimates changed—in some cases more than twofold—when comparing estimates from genotyping arrays to those from sequence data. Indeed, changes in  $F_{ST}$  from sequencing data might be expected due to population genetic factors affecting rare variants. While rare variants do influence the result, we show that this is largely through differences in estimation methods. Correcting for this yields estimates of  $F_{ST}$  that are much more concordant between sequence and genotype data. These differences relate to three specific issues: (1) estimating  $F_{ST}$  for a single SNP, (2) combining estimates of  $F_{ST}$  across multiple SNPs, and (3) selecting the set of SNPs used in the computation. Changes in each of these aspects of estimation may result in  $F_{ST}$  estimates that are highly divergent from one another. Here, we clarify these issues and propose solutions.



## Hudson's $F_{ST}$

### *Definition*

Hudson et al. (1992) defined  $F_{ST}$  in terms of heterozygosity. The fundamental difference between these estimators is that for Hudson, the total variance is based upon the ancestral population and not the current sample.

### *Estimator*

Hudson's estimator for  $F_{ST}$  is given by

$$\hat{F}_{ST}^{Hudson} = 1 - \frac{H_w}{H_b}, \quad (9)$$

where  $H_w$  is the mean number of differences within populations, and  $H_b$  is the mean number of differences between populations. While Hudson did not give explicit equations for  $H_w$  and  $H_b$ , we cast his description into an explicit estimator (see Supplemental Material for a derivation). The estimator that we analyze is

$$\hat{F}_{ST}^{Hudson} = \frac{(\tilde{p}_1 - \tilde{p}_2)^2 - \frac{\tilde{p}_1(1 - \tilde{p}_1)}{n_1 - 1} - \frac{\tilde{p}_2(1 - \tilde{p}_2)}{n_2 - 1}}{\tilde{p}_1(1 - \tilde{p}_2) + \tilde{p}_2(1 - \tilde{p}_1)}, \quad (10)$$

where  $n_i$  is the sample size and  $\tilde{p}_i$  is the sample allele frequency in population  $i$  for  $i \in \{1, 2\}$ . Analyzing this estimator using the definition of Weir and Hill (2002), we show (see Supplemental Material) that  $F_{ST}$  estimated using Hudson's estimator will tend toward Equation 3 (see Results), which is exactly the average of population-specific  $F_{ST}$  values that we seek to estimate. This emerges naturally, as the proposed estimator is the simple average of the population-specific estimators given in Weir and Hill (2002). This estimator has the desirable properties that it is (1) independent of sample composition, and (2) does not overestimate  $F_{ST}$  (it has a maximum value of 1). We recommend its use to produce estimates of  $F_{ST}$  for two populations.

# Exercise – $F_{ST}$ estimation

- Implement Hudson's method
- Estimate the average pairwise  $F_{ST}$  values for Pop1-6.